

## **Wiltshire Council Strategic Risk Register Q4 2018/19**

There are significant challenges for Wiltshire Council as it looks to build stronger communities, grow the county's economy and protect the vulnerable. The Strategic Risk Register reflects these challenges.

The Strategic Risk Register draws together information recorded on risk registers of individual services across Wiltshire Council.

Information that has significance across the council as a whole is displayed in two categories on the Strategic Risk Register.

1. Critical service risks: significant risks that sit in a single service but which, should they become an issue, will have a significant impact on the council as a whole.
2. Composite strategic risks: where similar risks exist in a number of different services which would not have a significant impact on the organisation on their own but put together represent a significant impact. These risks are compiled into a single strategic composite risk and included within the strategic risk register. These risks are scored by reviewing the service component risks.

Each risk is fully defined by the responsible service (who assess the cause, event and effect that make up the identified risk).

Each risk is scored for impact and likelihood to give an overall score. A risk is scored twice; firstly, as inherent (the current level of risk) and then as residual (the risk as it would be once all mitigating actions are in place).

The progress towards implementing mitigating actions is assessed as red, amber or green. This RAG guides the reader of the register to understand the true current risk.

A whole range of service risks are kept under observation each quarter.

Risk short name	Primary Risk Category	Secondary Risk Category	Q4 Inherent Impact	Q4 Inherent Likelihood	Q4 Inherent Risk Rating	Q4 DoT	Q4 Actions RAG	Q4 Residual Impact	Q4 Residual Likelihood	Q4 Residual Risk Rating	Q4 Comments
<b>Critical Service Risks</b>											
<b>Safeguarding Children</b>	Service Delivery	Reputation	4	3	12	▶	Amber	4	2	8	The risk of a failure to safeguard children and young people is heightened by larger caseloads and a less stable workforce. In this quarter average caseload numbers are lower. Services are working to improve the stability of the workforce with a 10% vacancy rate and 19% of positions covered by agency staff.
<b>Safeguarding Adults</b>	Service Delivery	Reputation	4	2	8	▶	Amber	4	1	4	Ongoing focus on safeguarding issues and a multi agency approach mean that the likelihood of issues arising is being reduced as far as is possible.
<b>Failure to revive Salisbury's economy</b>	Reputation		3	3	9	▶	Green	3	2	6	The council's focus in the south of the county remains on the long-term economic recovery and growth of Salisbury and Amesbury. The team is building and supporting opportunities to revive the high streets, improve the cultural offer and stimulate new growth.
<b>Future Local Government finance funding</b>	Financial	Reputation	2	4	8	▶	Amber	2	4	8	Both impact and likelihood are dependent on decision made by central government and a consolidation is underway. The risk can't be treated until decisions have been made.

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<b>Composite Corporate Risks</b>											
Staff capacity: Recruitment and Retention	Staffing/ People		3	2	6	▶	Green	3	2	6	Ongoing controls include increased staff engagement, promoting Wiltshire Council as an employer, specific strategies in areas of high concern. A new staff survey is underway and will help guide further mitigation.
Budget management	Financial	Reputation	3	3	9	▶	Green	3	2	6	This risk area is kept under close monthly monitoring review. Potential issues are discussed with the Corporate Leadership Team and budget managers. An update to the financial plan was adopted in February.
Corporate Health, Safety & Wellbeing	Health & Safety		2	2	4	▶	Green	2	2	4	Risk action plans have been updated and remain under review on a rolling timetable.
Contract monitoring and management	Service delivery	Financial	4	3	12	▲	Amber	4	3	12	The contract management training programme has been rolled out across the council and completed. The desired behaviour change has not been seen. Work will commence with HR to make contract management a key part of certain job roles. Other ways to make contract management resources and support easier to access will also be considered.
Income Collection	Financial	Reputation	3	3	9	▶	Amber	3	2	6	Reviews of income collection are included in regular monitoring reviews.
Uncertainty over the type and timing of Brexit and it's impacts mean resources are required for contingency planning and decisions are delayed.	Service delivery	Financial	4	3	12	▶	Amber	3	2	6	As the uncertainty continues beyond the anticipated leaving date in March the composite risk remains for Wiltshire Council. Planned actions remain in place across a number of the council's service areas.
Information Governance	Reputation	Financial	3	2	6	▶	Green	3	1	3	Training continues to be rolled out with awareness being raised across Wiltshire Council keeping the risk low.

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<b>National Level Risks</b>											
Pandemic influenza	Health & Safety		4	3	12	▶	Green	4	3	12	NATIONAL RISKS - Ratings do not change at a local level. The local resilience plan has been updated using the national guidance and currently out to consultation.
Flooding	Health & Safety		4	3	12	▶	Green	4	3	12	
Widespread electricity failure	Health & Safety		4	2	8	▶	Green	4	2	8	
Catastrophic terrorist attacks	Health & Safety		4	2	8	▶	Green	4	2	8	
Poor air quality events	Health & Safety		4	2	8	▶	Green	4	2	8	
Cyber Security	Legal	Reputation	4	2	8	▼	Amber	4	2	8	